



07.15.03

GB 3731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard S. Kusleika et al. Attorney Docket: ev3-1012US  
Serial No: 09/936,248 Group Art Unit: 3731  
Filed: January 28, 2002  
For: MINIMALLY INVASIVE MEDICAL DEVICE DEPLOYMENT AND RETRIEVAL SYSTEM

TC 3700 MAIL ROOM

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TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following:

1. Supplemental Information Disclosure Statement (2 pages);
2. Form 1449 listing 2 documents (1 page, in duplicate); and
3. Copy of each of the listed documents.

Please charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2312. A duplicate copy of this Transmittal Letter is enclosed for that purpose.

Respectfully submitted,

Dated: 7/14/03

By Terry L. Wiles

Customer No. 009561

Terry L. Wiles, Esq. (29,989)

Patrick J. O'Connell, Esq. (33,984)

Miriam G. Simmons (34,727)

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Representatives of Applicants

Certificate of Express Mailing (37 C.F.R. § 1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 327082845 US in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: July 14, 2003

Signature: Jodi Jung

Name: Jodi Jung



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22323-1450

Dear Sir:

Pursuant to Sections 1.56, 1.97, and 1.98 of Title 37 of the Code of Federal  
Regulations, the following information is set forth in compliance with Applicants'  
duty of disclosure, as well as the duty of others substantively involved in the  
preparation of the above-titled application.

The Applicants and others substantively involved in the preparation of the  
application are aware of the documents, cited on the enclosed PTO-1449 form, and  
have enclosed copies of the listed documents.

The present statement reflects the direct knowledge of the undersigned and  
information disclosed to the undersigned by the Applicants and others  
substantively involved in the preparation of the present application pursuant to  
their duty under 37 C.F.R. § 1.56.

**Certificate of Mailing/Transmission (37 C.F.R. § 1.10)**

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Applicants reserve the right to show, pursuant to 37 C.F.R. § 1.131 or otherwise, that any one or more of the documents cited on the PTO-1449 form is not prior art to the present invention.

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicants request that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO-1449 form (submitted in duplicate).

It is believed that no additional fees are due in connection with this submission. However if this is incorrect, please charge any additional fees to Deposit Account No. 16-2312.

Respectfully submitted,

Dated: 7/14/03

By Terry L. Wiles  
Customer No. 009561  
Terry L. Wiles, Esq. (29,989)  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

ev3-1012US

09/936.248

Richard S. Kusleika et al.

**FILING DATE**

January 28, 2002

**GROUP**

January 28, 2002

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES | NO |
|--|-----------------|----------|---------|-------|----------|--------------------|----|
|  | WO 03/002035 A2 | 01/09/03 | PCT     |       |          |                    |    |
|  |                 |          |         |       |          |                    |    |
|  |                 |          |         |       |          |                    |    |
|  |                 |          |         |       |          |                    |    |
|  |                 |          |         |       |          |                    |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Published U.S. Patent Application 2003/0040704 A1, filed February 27, 2003,  
Dorros et al.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.